

MAR 25 2002
U.S. PATENT & TRADEMARK OFFICE 901CJ

COPY OF PAPERS
ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor : Barry Wendt et al.

Appln. No.: 09/991,589

Filed : November 16, 2001

For : VECTOR SEGMENT FINGERPRINT
IDENTIFICATION

Docket No.: S30.12-0004

Group Art Unit: 2621

Examiner: ---

RECEIVED
APR 15 2002
Technology Center 2600

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING
SENT BY U.S. MAIL, FIRST CLASS, TO THE
ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231, THIS

15th DAY OF March, 2002
Christopher J. Noble
PATENT ATTORNEY

Sir:

1. Enclosed is a copy of the Official Filing Receipt
received from the PTO in the above-identified application
~~for which issuance of a corrected filing Receipt is~~
requested.

2. There is an error with respect to the following data,
which is:

[] incorrectly entered; and/or

[] omitted.

The correction for this error is indicated on the
enclosed copy of the Official Filing Receipt and is
listed below:

Error in

Corrected data

- | | |
|----------------------------|----|
| A. [] Applicant's Name | A. |
| B. [] Applicant's Address | B. |
| C. [] Title | C. |
| D. [] Filing Date | D. |
| E. [] Serial No. | E. |

F. ☐ Foreign - PCT | F.
Application Re:

G. ☒ Domestic priority data | G. 09/788,148
as claimed by Applicant Filed: February 16, 2001

3. (Complete the following applicable item)

A. ☐ The correction(s) is/are not due to any error by applicant and no fee is due.

OR

B. ☒ At least one of the above corrections is due to applicant's error and the fee therefor, under 37 C.F.R. § 1.19(h), of \$25.00 is paid as follows:

☒ Enclosed is a check for \$25.00

☐ Charge Deposit Account No. 23-1123 in the amount of \$25.00.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By: Christopher L. Holt
Christopher L. Holt, Reg. No. 45,844
Suite 1600 - International Centre
900 Second Avenue South
Minneapolis, Minnesota 55402-3319
Phone: (612) 334-3222 Fax: (612) 334-3312

CLH:slg



MAR 25 2002
 DEC 13 2001
 UNITED STATES PATENT AND TRADEMARK OFFICE

COPIES OF PAPERS
 ORIGINALLY FILED

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/991,589 | 11/16/2001 | 2621 | 724 | S30.12-0004 | 43 | 50 | 5 |

CONFIRMATION NO. 3584

FILING RECEIPT



OC00000007174413

Christopher L. Holt
 WESTMAN CHAMPLIN & KELLY
 Suite 1600 - International Centre
 900 Sout Second Avenue
 Minneapolis, MN 55402-3312

RECEIVED
 APR 15 2002
 Technology Center 2600

Date Mailed: 12/10/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Barry Wendt, Las Vegas, NV;
 Benedict Witting, Bloomington, MN, Deceased;
 Jeffry R. Brown, Bloomington, MN, Legal Representative;
 Mira Kristina LaCous, Eagan, MN;
 Gary Lawrence Zarn, Lakeville, MN;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 12/07/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

**** SMALL ENTITY ****

Title

Image identification system

Preliminary Class

382

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
|--------------------|-------------|-----------------------|------------------------|
| 09/991,589 | 11/16/2001 | Barry Wendt | S30.12-0004 |

CONFIRMATION NO. 3584



OC000000007845537

Christopher L. Holt
WESTMAN CHAMPLIN & KELLY
Suite 1600 - International Centre
900 Sout Second Avenue
Minneapolis, MN 55402-3312

RECEIVED
APR 15 2002
Technology Center 2600

Date Mailed: 04/11/2002

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Claims, Fees, Inventors and Continuity

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The docket number allows a maximum of 25 characters.
- ☐ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional...).
- ☐ Foreign priority will appear on the Filing Receipt in the following order:
Country, Application number, Filing date.
- ☐ The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.
- ☐ Only one character per space is allowed. Therefore, punctuation which is usually placed above or below a letter, such as an umlaut (..), cannot be included on the Filing Receipt.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

A copy of this notice MUST be returned with the reply.

R. BERKE

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 3584

| | | | | |
|------------------------------------|---|---------------------|-------------------------------|---|
| SERIAL NUMBER 09/991,589 | FILING DATE 11/16/2001 RULE | CLASS 382 | GROUP ART UNIT 2623 | ATTORNEY DOCKET NO. S30.12-0004 |
|------------------------------------|---|---------------------|-------------------------------|---|

APPLICANTS

Barry Wendt, Las Vegas, NV;
Benedict Witting, Bloomington, MN, Deceased;
Jeffrey R. Brown, Bloomington, MN, Legal Representative;
Mira Kristina LaCous, Eagan, MN;
Gary Lawrence Zarn, Lakeville, MN;

** CONTINUING DATA *****

THIS APPLICATION IS A CIP OF 09/788,148 02/16/2001 *dyos*

** FOREIGN APPLICATIONS *****

IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY **

** 12/07/2001

| | | | | |
|---|------------------------|----------------------|--------------------|-------------------------|
| Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no | STATE OR COUNTRY NV | SHEETS DRAWING 43 | TOTAL CLAIMS 50 | INDEPENDENT CLAIMS 5 |
| 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance | | | | |
| Verified and Acknowledged Examiner's Signature <i>[Signature]</i> Initials <i>[Initials]</i> | | | | |

ADDRESS

Christopher L. Holt
WESTMAN CHAMPLIN & KELLY
Suite 1600 - International Centre
900 Sout Second Avenue
Minneapolis ,MN 55402-3312

TITLE

Image identification system

| | | |
|-----------------------------------|---|--|
| FILING FEE RECEIVED 724 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | <input type="checkbox"/> All Fees |
| | | <input type="checkbox"/> 1.16 Fees (Filing) |
| | | <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) |
| | | <input type="checkbox"/> 1.18 Fees (Issue) |
| | | <input type="checkbox"/> Other _____ |
| | | <input type="checkbox"/> Credit |